

07/11/01  
Jc960 U.S. PTO

skjervén morrill  
macpherson LLP

07-13-01

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Docket No.: M-7468 US

July 11, 2001

Box Patent Application  
Commissioner For Patents  
Washington, D. C. 20231

Enclosed herewith for filing is a patent application, as follows:

Inventor(s): Han, K. Michael  
Title: Recessed Tunnel Oxide Profile For Improved Reliability In NAND Devices

X Return-Receipt Postcard  
X This Transmittal Letter (in duplicate)  
8 page(s) Specification (not including claims)  
2 page(s) Claims  
1 page Abstract  
4 Sheet(s) of Drawings  
2 page(s) Declaration For Patent Application and Power of Attorney  
1 page NonPublication Request  
1 page(s) Recordation Form Cover Sheet (in duplicate)  
1 page(s) Assignment  
1 page(s) PTO Form 1449 citing three (3) references  
☒ Copy(ies) of three (3) Cited References submitted

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09/904042  
07/11/01

CLAIMS AS FILED

For	Number Filed		Number Extra		Rate		Basic Fee
Total Claims	12	-20 =	0	x	\$ 18.00	=	\$ 710.00 0.00
Independent Claims	2	-3 =	0	x	\$80	=	\$ 0.00
<input type="checkbox"/>	Fee of _____ for the first filing of one or more multiple dependent claims per application						\$
<input type="checkbox"/>	Fee for Request for Extension of Time						\$

Please make the following charges to Deposit Account 19-2386:

- ☒ Total fee for filing the patent application in the amount of \$ 710.00  
☒ The Commissioner is hereby authorized to charge any additional fees which may be  
required, or credit any overpayment to Deposit Account 19-2386.

EXPRESS MAIL LABEL NO:  
EL 849 436 230 US

Respectfully submitted,

*Theodore P. Lopez*  
Theodore P. Lopez  
Attorney for Applicant(s)  
Reg. No. 44,881

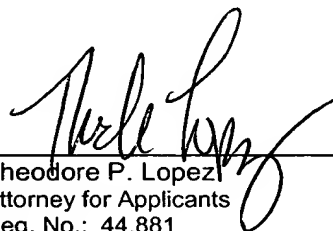
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MODIFIED PTO/SB/35 (11-00)

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	Inventors: Han, K. Michael
	Title: Recessed Tunnel Oxide Profile For Improved Reliability In NAND Devices
	Attorney Docket Number: M-7468 US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 11, 2001  
Date

x   
Theodore P. Lopez  
Attorney for Applicants  
Reg. No.: 44,881

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

7 CFR 1.213(a) provides for a request that an application not be published under 35 U.S.C. 122(b). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. SEND TO: Commissioner for Patents, Washington, DC 20231.